

SEC Rule 606 Report – IFS Capital Markets – 4th Qtr, 2013

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Exchange Euronext

No Covered Orders

Securities Listed on The Nasdaq Stock Market

No Covered Orders

Securities Listed on NYSE Amex or Regional Exchange

No Covered Orders

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

- No disclosure information is available at this time