TransitionCheck™ Decision Guide

As you think about moving your broker-dealer relationship, consider your current level of satisfaction In the following areas and the additional support you would like to receive from IFS Securities or another firm. Does your current broker-dealer:

Independent Thinking
- Listen to you effectively, respond quickly, and actively seek your input?
- Give you flexibility in the business model you choose, including use of the firm's name or a DBA?
- Encourage a long-term partnership, not just a transactional relationship?
- Have leaders who have been independent advisors in the past and really understand you?
- Provide a customizable web page for you on the firm's site?

Investment Insight
- Deliver meaningful investment insight and ideas – ideas others might miss – on a regular basis?
- Also serve institutional clients, delivering a broader investment perspective for you?
- Offer an extensive range of managed accounts?

Firm Stability and Reputation
- Display the commitment to total integrity that you and your clients value?
- Offer clearing services through respected firms such as Raymond James and Sterne Agee?
- Have an extended track record of success with true global reach?
- Offer the perspective of an SCTRCA-certified SEB/MBE/AABE company?
- Offer full investment banking services, providing you with opportunities to participate in private offers?

Personal Attention and Support
- Have the right balance of products, services, infrastructure, and truly personal attention?
- Offer access to senior leadership at any time?
- Make you feel valued and treat your business concerns with urgency?
- Offer state-of-the-art technology from such firms as Raymond James and Sterne Agee?

Financial Incentives
- Deliver the competitive payouts you deserve?
- Offer flexible financial support when joining the firm?

Products and Services
- Provide access to powerful financial planning tools such as the SunGard Planning Station, the Forefield Financial Planning Library, and the Ibbotson Asset Allocation Library?
- Offer the full range of global equities, bonds, mutual funds, index funds, private placements, and portfolio diversification and hedging support?
- Make available outstanding choices for managed accounts and asset management products?
- Offer extensive insurance and risk-management solutions?
- Provide margin/credit facilities, Visa cards, comprehensive tax reporting with basis calculation options, money-market funds with check-writing, and account valuation services?

Transition Support
- Provide transition support to make your move seamless, without missing a beat for you or your clients?
- Deliver quick compliance reviews, training, and business-card/marketing support?
- Offer a single point of contact for all of your transition needs?

The art of independent thinking.™