



Advisor Network | Capital Markets

The art of independent thinking.™

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- **Qualified Minority-Owned Broker Dealer**
- **Agency Trading: Domestic and International Equities (ordinary & ADR's)**
- **Highly-competitive commission rates**
- **Access to Raymond James proprietary & 3rd party research**
- **Commission Recapture: client specific rebates or soft-dollar arrangements**
- **Dedicated 24 hour trading desk with 10+ years of institutional trading experience**
- **All orders handled in-house; Real-Time Updates 24/7**
- **Best Execution achieved through access to all liquidity sources**
- **Smart Order Routing, full spectrum of order types, and timing/price algorithms: VWAP, TWAP, POV, Dark Sweeper, DMA Smart Routing, implementation, etc.**
- **Personalized Service and Superior Executions through a variety of customized trading strategies**
- **Direct FIX connectivity– to 3rd party or Proprietary OMS**
- **Anonymous Execution or IOI's at customer discretion**
- **Foreign direct trading access through local broker relationships providing us with: superior executions, a voice in the local market, an extra level of expertise, and simplified settlement**
- **Trades matched on OASYS; also utilize ALERT to access account delivery instructions**
- **All executed trades cleared through Sterne Agee 0750; settlement in USD**
- **Full service Fixed Income trading desk– Government securities, Investment grade corporate bonds, High yield corporate bonds, Money market instruments, Mortgage & asset backed securities, and Municipal securities**

Countries Traded

- US
- Canada
- Mexico
- UK
- Spain
- France
- Germany
- Italy
- Austria
- Belgium
- Netherlands
- Denmark
- Sweden
- Finland
- Norway
- Switzerland
- South Africa
- Hong Kong
- Japan
- Singapore
- Australia
- New Zealand

4 letter MPID
AZAD

Oasys & Alert
Acronym

IFSCAP
Bloomberg EMSX ID
IFS

PRINCIPALS

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